



ငွေချေးသက်သေခံလက်မှတ်လုပ်ငန်းကြီးကြပ်ရေးကော်မရှင်ရုံး
Office of the Securities and Exchange Commission of Myanmar

Capital Market Bulletin

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| Particulars | Page No. | <i>Do sell... or ... do buy... shares and bonds???</i> |
|---|--------------|--|
| International Relationship | 2 | |
| 36 th ASEAN Capital Markets Forum | | Share means a part or portion of the assets of a public company issued to the public offering when the company wants to raise its capital and extend business. |
| Co-chairs of Working Committee on Capital Markets Development (WC-CMD) | | Bond means a debt certificate which is promised to refund by the seller to the buyer if it is maturity date. |
| Local News | 2 | Companies can also issue bonds. That is called corporate bonds. |
| Commission Meetings | | The governments from each country always issue bonds with the recognized interest rates and maturity dates to the public in aiming for mobilizing the budget deficits and providing the secure money circulation of the people. These are called government treasury bonds and treasury bills. |
| Held the 8 th Domestic Bond Market Development Working Committee (DBMD-WC) | | Beyond set up the market oriented economy in 1989, as the representative of the Government, the Central Bank of Myanmar issued three years and five years maturity treasury bonds in 1993. In the meanwhile, capital market of Myanmar was introduced. |
| Commission Discussions | | By the Securities and Exchange Commission (SEC) granted the permit to establish the Yangon Stock Exchange (YSX) regarding the clause in 8(a) of the Securities Exchange Law (2013), the first-ever Stock Market of Myanmar could be launched on 9 December 2015. |
| Activities of Commission Office | 3 | Would like to convey the good news to the investors who have the surplus money, by trading the shares of seven listed companies at YSX and the guaranteed government treasury bonds through the six securities companies for the liquid and secure investments. |
| Activities office | | |
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| Sovereign bonds debuting 2022 | | |

International Relationship

Attending to the 36th ASEAN Capital Markets Forum



ASEAN Capital Markets Forum-ACMF Meeting Secretary of Commission, Director General of The Securities and Exchange Commission attended the 36th ASEAN Capital Markets Forum Chairs' Meeting.

Co-chairs of Working Committee on Capital Markets Development (WC-CMD)

As the Cochairs of Working Committee on Capital Markets Development- WC-CMD, Securities and Exchange Commission of Myanmar and Ministry of Indonesia from July 2020-June 2022: Myanmar chaired WC-CMD meeting in 9 February 2022.

Local News

Commission Meetings

The meetings of Securities and Exchange Commission were held five times from January to June 2022



Held the 8th Domestic Bond Market Development Working Committee (DBMD-WC)

Domestic Bond Market Development Working Committee was organized by Ministry of Planning and Finance Notification No (3/2019) with the representatives from the Central Bank of Myanmar, Office of the Ministry of Planning and Finance, Treasury Department, Financial Regulatory Department and Securities and Exchange Commission of Myanmar. The 8th Committee Meeting was held on February 16, 2022.

Discussion of Commission Office

| | |
|--|---------|
| Yangon Stock Exchange (YSX) | Weekly |
| Executive Committee | Monthly |
| Securities Companies | Monthly |
| Acknowledgement Certificate training schools | Monthly |
| Staff's Durbar | Monthly |

Activities of Commission Office

Activities

- Inspections of Securities Companies were conducted
- Knowledge sharing program for reporting entities, YSX and two securities companies regarding AML/CFT
- Online training for understanding ApML/CFT in securities market at YSX
- Participation of 6th Director Certificate Program with MIOD
- Published on SECM Website concerning with the trading of secondary market in government securities

Notification

Notification of the permission of Second Board at the YSX

Notification No.(1/2022) for Pre-Listing Board (PLB) on the Yangon Stock Exchange was issued on 1st February 2022 with aiming to provide becoming more listed companies, to create a market for the public companies which require to meet the listing criteria, to perform to be the listed companies by revealing the state-own-enterprise which should offer the shares through the Pre-Listing Board (PLB) and to collect the capital through the PLB for the development of small and medium enterprises.

Announcement for Securities Representative License

Announcement No.1/2022 for Securities Representative License holders to renew their licenses was issued on 6 June 2022.

Human Resource Development

27 staffs from SECM were attended the following courses at the Civil Service Academy (Lower Myanmar);

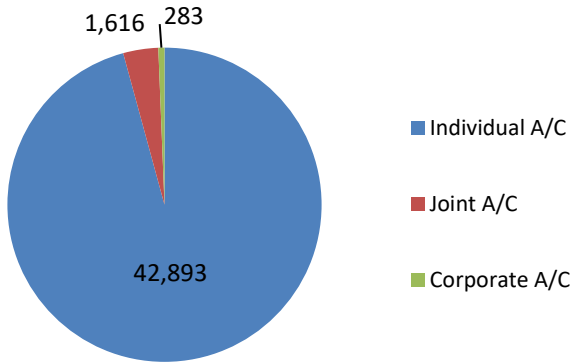
- Basic course for clerical staff, No (200)
- Basic course for Junior officer, No (120)
- Basic course for civil service officer, No (214)
- Management course for mid-level officers advanced Diploma in Civil Service Management Course, No (6)
- Management Course for Senior Level officials, No (18)

The staffs from SECM were attended the following of Local, Foreign Webinars and Trainings;

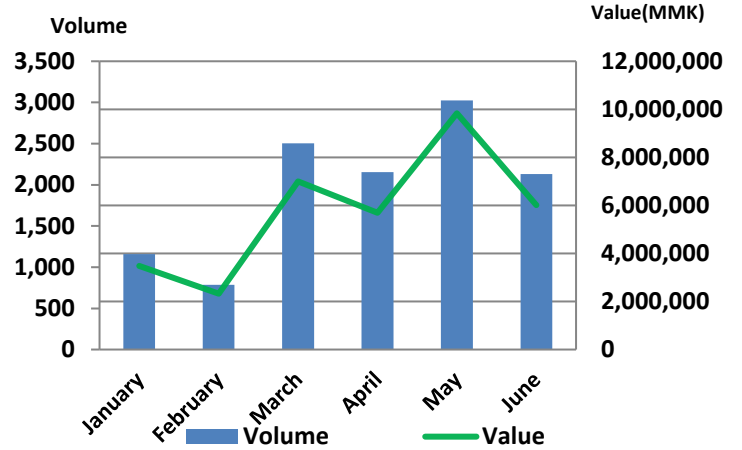
- UK-ASEAN Sustainability Leadership in Infrastructure
- Funding Asia's Transition to a Green Economy Facilitating Sustainable transformation through targeted investment webinar
- Proposed dates and time for the PIFS training Program on fin-tech and Digital assets
- Sustainable Finance for Sustainable Project, Sustainable Banking and Finance Network (SBFN) Global Meeting Webinar
- Promoting Local Currency Sustainable Finance in ASEAN+3 Webinar
- ASEAN Taxonomy for Sustainable Finance Stakeholder Roundtables
- 48th Asian Impact Webinar: Recent Technological Advances in ASEAN+3 Financial Market Infrastructure
- What is really means to be a Director
- The MIOD Leadership Series: Organization Leadership Culture
- 6th Director Certification Program
- Finance For Director
- Board / Company secretary Program
- Understanding AML/CFT in the Securities Market

Market Data

Total Customer Account
(From January to June 2022)

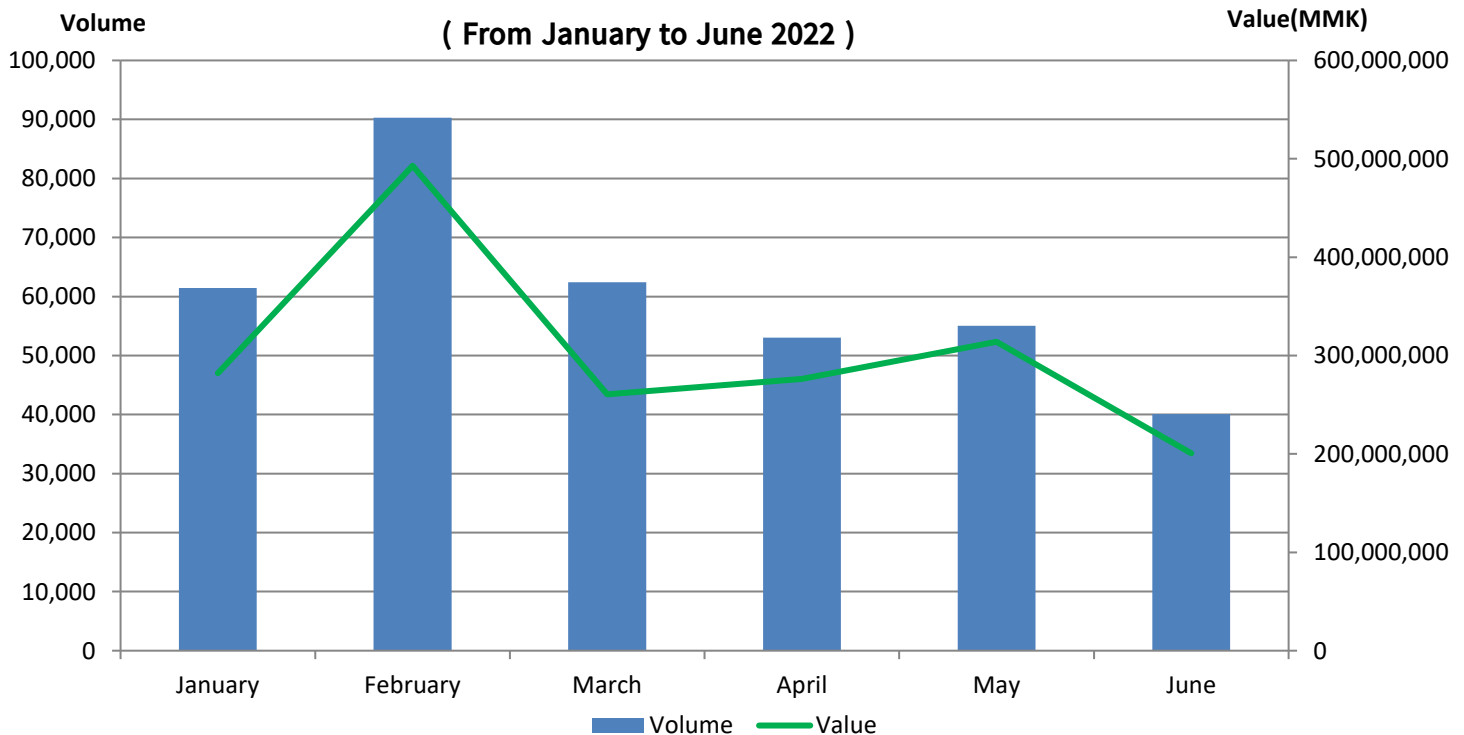


Trading Data for Foreigner's Participation
(From Jan 2022 to June 2022)



Trading Data of Listed Companies

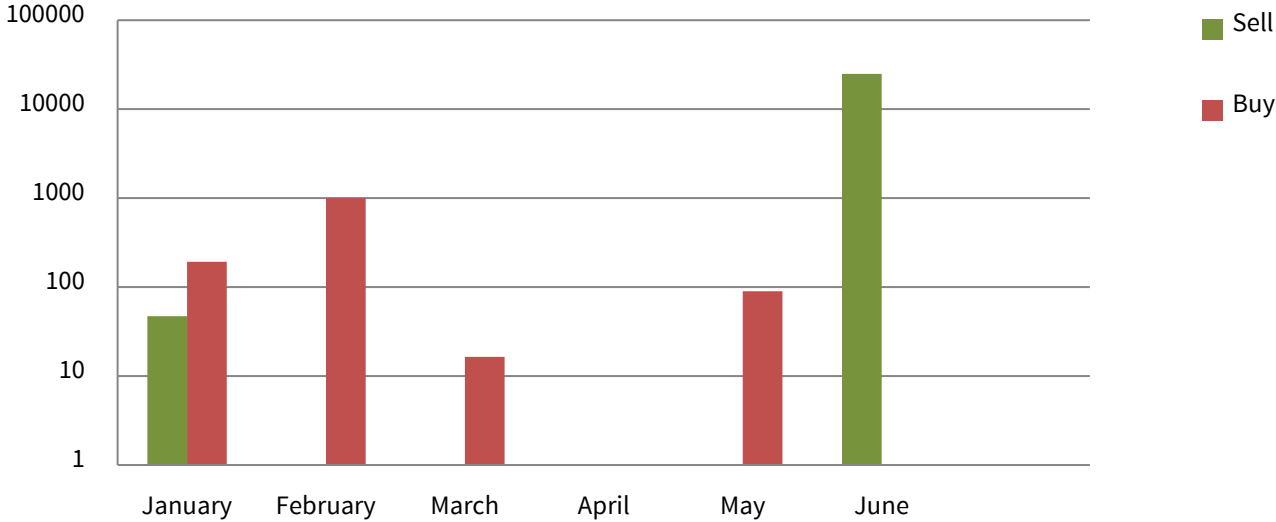
Stocks Trading Data of 7 Listed Companies
(From January to June 2022)



Trading Data of Government Securities

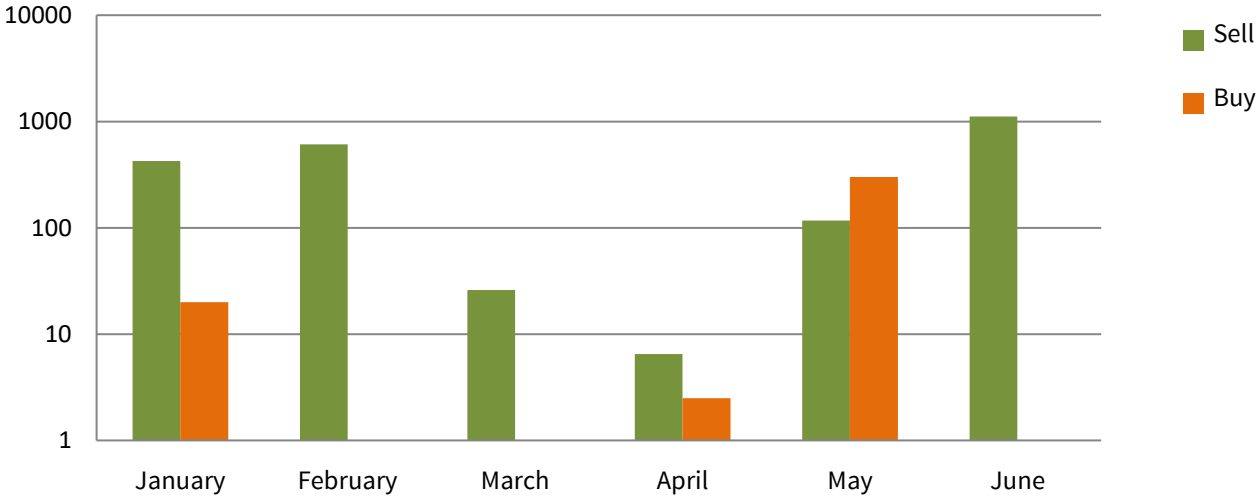
Trading Data the secondary market of Government Bond (From January to June 2022)

MMK (Million)



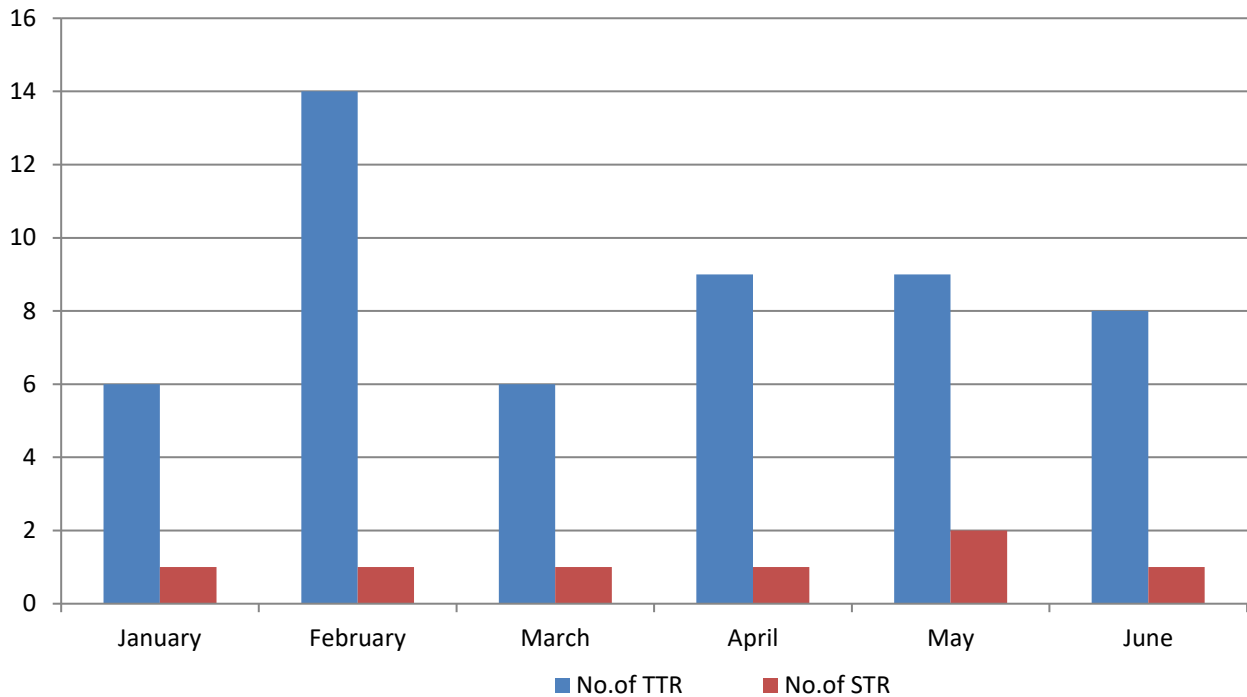
Trading Data the secondary market of Government Bill (From January to June 2022)

MMK (Million)



Market Participation

**AML-CFT report by Securities Company
(From January to June 2022)**



- ❖ In accordance with the ASEAN+3 ABMI TA Phase 5, SECM is preparing an instruction of the Standard Operation procedure – SOP that the Securities companies shall follow up for the Government Secondary trading market in Myanmar and held the meeting for three times
- ❖ Securities and Exchange Commission of Myanmar (SECM), Yangon Stock Exchange and Securities Companies are implementing the Liquidity Provider- LP Program for the purpose of encouraging smooth trading and improving market liquidity by promoting self-trading of trading participants.

- ❖ SECM organized the working group by SECM and the representatives of Securities Companies in the purpose to issue the form of Acknowledgement Certificate (AC), this AC will be issued to investors by securities companies in the trading of government bonds in Secondary Market and they held the two times meeting.

Ko... ! What shall we do our surplus money to peace of mind for the future?

Oh Honey! We should buy Government Treasury Bond, that is no risk and secure.



Capital Markets Development of the Neighboring Countries

SET takes stake in digital investment platform ADDX

SET president Pakorn Peetathawatchai said, the Stock Exchange of Thailand (SET) has invested in ADDX, a Singapore-based digital securities service exchange platform, aiming to expand opportunities for the Thai capital market and glean expertise to support long-term development of the domestic digital asset market.

The SET is investing via ICHX Tech Pte Ltd, ADDX's holding company. ADDX is licensed by the Monetary Authority of Singapore and has the largest market share in the country.

By investing in ICHX's Pre-Series B funding round, the SET joins existing shareholders such as the investment holding company Singapore Exchange (SGX) and Temasek subsidiary Heliconia Capital Management Pte Ltd. With the Thai Digital Exchange (TDX) slated to begin operations in the third quarter this year, the SET sees the investment as an opportunity to learn and gain experience with a leading international platform.

According to him, the SET wants to assist stakeholders in becoming more involved in business development through

Sovereign bonds debuting 2022

Minister of Economy and Finance of Cambodia, Mr. Aun Pornmoniroth said Sovereign Bonds which will be issued sometime this year at the National Conference on Cambodia's Securities Market reported The Phnom Penh Post on May 16.

According to him, this bonds will serve as a new financial instrument for institutional investors, such as the National Social Security Fund, pension fund, insurance companies and banks and the securities will also enable the state to raise funds for economic development.

In addition, "the government bonds are expected to be popular on Cambodia Securities Exchange [CSX] and provide benchmark data for corporate securities trading and financial analysis as implemented in countries with a growing securities sector," he said.

Source - <https://www.phnompenhpost.com>

cooperation with both domestic and international partners, which would ultimately help both stakeholders and the SET to expand businesses in the digital era.

Source - <https://www.bangkokpost.com/business/2316638/set-takes-stake-in-digital-investment-platform-addx>